## Stakeholder Engagement: Webinar

Part I: The Regulatory Development Process for the Government of Canada

Part II: Making Technical Regulations Under the Accessible Canada Act

Winter 2019 Accessibility Secretariat, ISSD, ESDC

### Purpose of this Presentation

- Introduce you to the regulatory development process for the Government of Canada.
- Engage you on how technical regulations under the Accessible Canada Act will be made.

### Part I:

# The Regulatory Development Process for the Government of Canada

## What are Regulations?

### Regulations...

- Are rules that guide behaviour.
- Apply generally to groups of people and organizations.
- Are laws that can be enforced.

### Regulations can be a mix of rules that:

- Give rights to do things.
- Prohibit against doing things.

### Regulations can have different levels of detail.

- Some regulations are very precise and tell people exactly what to do.
- Some regulations are performance-based and set goals that people need to meet.

## Legislation vs. Regulation

### Legislation...

- Is passed by Parliament
- Provides the legal framework for making regulations.
- Sets out: Who is allowed to make regulations.
  - Who is allowed to enforce the regulations.
  - Who the regulations apply to.

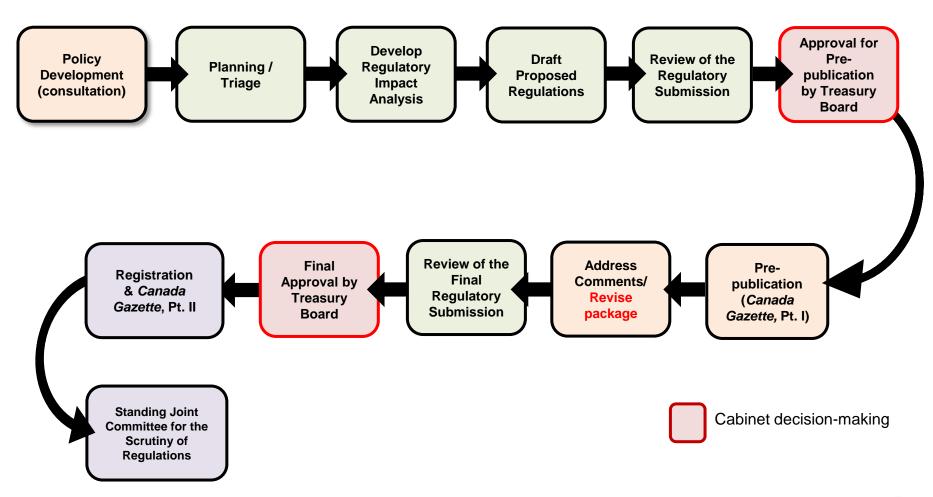
### Regulations...

- Are made by: The Governor in Council (i.e., Treasury Board).
  - A minister.
  - An agency or other body of government.
- Are made via a process internal to Government and are not passed by Parliament.
- Are legal texts that contain the actual rules.

## The Development of Regulations

- Having the authority to make a regulation does not justify making a regulation; other solutions must be assessed.
- If regulation is determined to be the best option, the regulation-maker follows a thorough process of planning, analysis and public consultation.
- This process is detailed in the Treasury Board Secretariat's (TBS)
  Cabinet Directive on Regulation (CDR).
- The CDR sets out the principles for making federal regulations (e.g., protect and advance the public interest and support good government; support a fair and competitive economy).
- By following all of the steps set out in the CDR for regulatory development, it takes a minimum of 24 months to make a regulation.

## Regulatory Development Process



### **Policy Development**

- The first step in making regulations requires developing policy.
- This means thinking about:
  - The approach to address an issue.
  - Which objectives need to be set.
  - How stakeholders, including Indigenous peoples, will be consulted.
  - The social benefits, risks and cost.

## Consultations and Engagement

- When making regulations, the Government is responsible for consulting and engaging with impacted stakeholders.
- Engagement with stakeholders typically occurs in two stages:
  - Pre-consultation:
    - Takes place during the policy development phase.
    - Involves representative stakeholders.
    - Is an informal exchange of ideas that helps inform the regulations.
  - Formal consultation:
    - Takes place after draft regulations have been published in Canada Gazette,
      Part I.
    - Open to the Canadian public at large.
    - Feedback is provided and informs the final draft regulations.
    - Required under the CDR.

## Planning / Triage Statement

- Regulation-makers are required to conduct an early assessment of their regulatory proposal. This is called a triage statement.
- The triage statement determines the expected impact level of the proposed regulations on:
  - Canadians (including persons with disabilities).
  - Businesses.
  - Government.

## Regulatory Impact Analysis

Regulatory Impact Analysis (RIA) is required for all regulatory proposals.

#### The RIA:

- Provides rationale for the proposed regulations.
- Examines social benefits, risks and costs, including administrative burden.
- Summarizes the results of consultation.
- Discusses implementation.
- The RIA is written in clear, non-technical language and gets published in the Canada Gazette with the actual regulatory text.
- The main objective of the RIA is to demonstrate that the benefits of the proposed regulations outweigh the costs.

## **Draft Proposed Regulations**

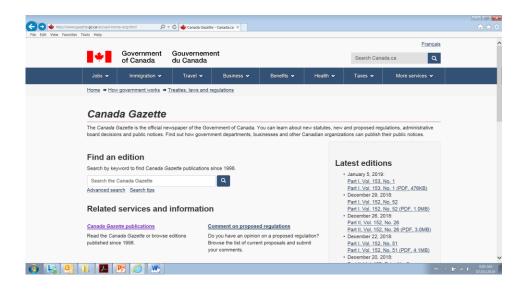
- Regulations are legal texts and are not written in plain language.
- They are written by lawyers from the Department of Justice.
- Throughout the drafting process, the Department that proposes the regulation answers any technical questions that the lawyers have.

# Central Agency Review and Governor in Council Approval

- Before pre-publication in Part I of the Canada Gazette, proposed regulations must be <u>reviewed</u> by:
  - Treasury Board Secretariat.
  - Clerk of the Privy Council and Deputy Minister of Justice.
  - Regulatory Affairs and Orders in Council Secretariat of the Privy Council.
  - Regulations Section of the Department of Justice (on behalf of the Deputy Minister under the Statutory Instruments Act).
- Before it can be pre-published in the Canada Gazette, a regulatory proposal must be <u>approved</u> by the Treasury Board (a committee of Cabinet).

### **Pre-publication**

- Draft regulations, including the RIA, are pre-published in Part I of the Canada Gazette.
  - The Canada Gazette is the official newspaper of the Government of Canada.



- Pre-publication gives the public an opportunity to provide comments.
  - The standard comment period following pre-publication is 30 days, but can provide for longer.

# Post Pre-publication: Revision, Review and Approval

- The Government examines and addresses the comments it receives during the comment period, and revises the regulatory package accordingly.
- The Regulatory Impact Analysis is then updated to include:
  - A summary of the comments received during the pre-publication period.
  - The rationale for the department's response.
  - Any actions that were taken to address those comments.
- The amended proposed regulation must undergo further reviews and follow the approval process set out on slide 13.

## Registration, Coming into Force and Scrutiny

- The regulations need to be sent to the Clerk of the Privy Council so they can be registered.
  - Registration is a crucial step because it determines when the regulations will take effect.
- Regulations are published in Part II of the Canada Gazette.
  - This signals that a regulation has officially been made.
- Regulations come into force on the day they are registered, unless the enabling act or the regulations themselves specify another date.

### Part II:

## Making Technical Regulations Under the Accessible Canada Act

### Purpose of this Presentation

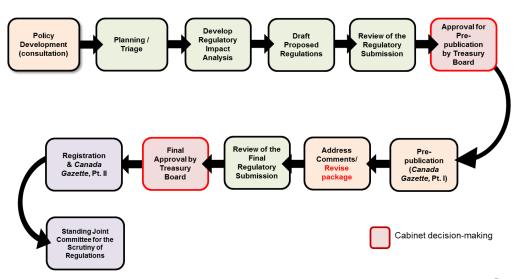
- Explain the purpose of technical regulations in implementing the Accessible Canada Act.
- Define the role of the Accessibility Secretariat to make technical regulations for approval by Treasury Board.
- Introduce the role of stakeholders in the making of technical regulations.
- Engage on how you are to be consulted throughout the process to make technical regulations.

### Why are Regulations Needed?

- Regulations are a key part of implementing the Accessible Canada Act.
- Regulations will mean:
  - Organizations are required to improve accessibility.
  - There will be rules for the Accessibility Commissioner and other regulators to enforce.
  - Individuals will be able to make accessibility complaints.
- Regulations will be made in three stages:
  - 1. Technical regulations.
  - 2. Initial accessibility regulations.
  - Ongoing regulations.

## Technical regulations will go through the same regulatory process as discussed earlier.

## Regulatory Development Process



## What are Technical Regulations?

- Technical regulations establish requirements under the Accessible Canada Act for:
  - Accessibility Plans.
  - Feedback Processes.
  - Progress Reports.
  - Administrative Monetary Penalties (AMPs).
- The first set of regulations are to be made within two years of the Act coming into force, as a result of the Standing Committee on Human Resources, Skills and Social Development and the Status of Persons with Disabilities (HUMA).

## Stakeholder Participation in Making Technical Regulations

- How organizations follow technical requirements is determined by a combination of:
  - Requirements in legislation.
    - Requirements in regulation.
    - Voluntary guidance on how to implement.
- Stakeholder feedback is critical in shaping the development of effective regulations.
- Stakeholders for accessibility regulations include: the disability community; regulated entities (the federally regulated private sector); and government bodies.
- There will be opportunities for stakeholders to participate in the process to make technical regulations.

## Role of Accessibility Secretariat

- To make technical regulations, the Accessibility Secretariat is responsible for:
  - Conducting consultations with organizations representing:
    - People with disabilities.
    - Regulated entities (i.e., industry, government departments).
    - Other implicated groups (e.g. labour, etc.).
  - Analysing the costs and benefits of proposed regulations.
  - Completing a Regulatory Impact Analysis Statement.
  - Coordinating with government bodies.
  - Finalizing regulations for approval by Treasury Board.

### Role of Stakeholders

#### Stakeholder feedback is needed to:

- Improve the concepts being proposed for regulation.
- Identify and understand how regulations could impact individuals and organizations.

### Stakeholder organizations are encouraged to:

- Represent their organizations by providing feedback on concepts, proposals, etc.
- Identify implementation challenges and operational realities.
- Validate/correct assumptions.

# What Stakeholders can Expect from Engagement on Regulations

- Scope of first engagement on regulations will be focused on technical rule making.
- Engagement activities will be made as accessible as possible, but there are known challenges.
  - Regulations are not written in plain language.
- The final decision on regulations is made by the Treasury Board.
  - Consultations are an important part of understanding how the decision to regulate can impact different stakeholders.

## Stakeholder Engagement Plan

Webinar: Presentation and discussion that explains:

- a) The regulatory development process.
- b) How technical regulations will be made.





**Teleconference #1:** Presentation on proposed technical regulations:

- a) Accessibility plans, feedback processes and progress reports.
- b) Administrative monetary penalties.



#### **Teleconference #2:** Discussion to:

- a) Summarize stakeholder comments.
- b) Provide a final opportunity for stakeholders to comment on the main elements prior to drafting.

Mid April

March



**Meeting or teleconference:** Presentation and discussion to:

- a) Explain how stakeholders' input was used to shape the regulations.
- b) Share information about next steps.



### **Next Steps**

- Schedule next event on technical regulations:
  - Teleconference #1: Presentation and discussion on proposed technical regulations:
    - a) Accessibility plans, feedback processes and progress reports.
    - b) Administrative monetary penalties.

### **Annex: References**

### **Guide to Making Federal Acts and Regulations**

 https://www.canada.ca/en/privy-council/services/publications/guidemaking-federal-acts-regulations.html#pt3

### **Policy on Regulatory Development**

 https://www.canada.ca/en/treasury-boardsecretariat/services/federal-regulatory-management/guidelinestools/policy-regulatory-development.html

### **Cabinet Directive on Regulation**

 https://www.canada.ca/en/treasury-boardsecretariat/services/federal-regulatory-management/guidelinestools/cabinet-directive-regulation.html

#### Canada Gazette:

http://www.gazette.gc.ca/accueil-home-eng.html